#### FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	DC	20549
wasinington,	D.C.	20343

# ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
OMB Number:	3235-0362						
Estimated average burden							
hours per response:	1.0						

Form 3 Holdings Reported

X Form 4	Transactions R	eported.	File	ed pursuant to or Sectior					ities Excha ompany A								
1. Name and Address of Reporting Person*  RAVEN JOHN				2. Issuer Name <b>and</b> Ticker or Trading Symbol Newtek Business Services Corp. [ NEWT ]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  Officer (give title Other (specify							
(Last) 1981 MA SUITE 13	(Fir RCUS AVE	,	Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2017						/Year)	X Officer (give title Other (specify below)  Chief Info. Security Officer						
(Street) LAKE SUCCES (City)	S NY		.1042 Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person									rson				
		Tabl	e I - Non-Deriv	ative Sec	uritie	es Ac	quire	ed, Di	sposed	of, or	Benefic	ially	/ Owne	ed			
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr.		4. Securities Acquired (A) or Dispos Of (D) (Instr. 3, 4 and 5)			or Disposed	5. Amou Securitie Benefici Owned a		es ially	6. Ownership Form: Direct (D) or	ership n: Direct	7. Nature of Indirect Beneficial Ownership	
				(Month/Day/Year)		6)		Amour	it	(A) or (D)	Price		Issuer's	Issuer's Fiscal Year (Instr. 3 and			(Instr. 4)
Common	stock		03/31/2017			<b>P</b> (1	l)		74	A	\$16.98	3	2,166 D				
Common	stock		06/30/2017			<b>P</b> (1	l)		37	A	\$16.29	6.29 2,166 D			D		
Common	non stock 08/15/2017 F4 <sup>(2)</sup> 1,481 D			D	\$16.96	5	2,	2,166		D							
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriv Secu Acqu (A) o Dispe	rivative curities quired or sposed (D) str. 3, 4		Date Exercisable and xpiration Date Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)  Amount or Number		ınt				Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership (Instr. 4)

### Explanation of Responses:

- 1. Shares received by Reporting Person pursuant to regular quarterly dividend declared by Issuer.
- 2. Disposition by Reporting Person to Issuer under the Issuer's 2015 Stock Incentive Plan to satisfy tax withholding obligations relating to vesting of Restricted Shares.

## Remarks:

<u>John Raven</u> <u>01/16/2018</u>

\*\* Signature of Reporting Person Date

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly \ or \ indirectly.$ 

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.