FORM 4

to Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Check this box if no longer subject	STATEMENT OF CHANGES IN BENEFICIAL OWNER	RSHIP
to Section 16. Form 4 or Form 5		
- Indian - time		

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	L. Name and Address of Reporting Person* SLOANE BARRY					2. Issuer Name <b>and</b> Ticker or Trading Symbol NewtekOne, Inc. [ NEWT ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>JLO11</u> 1	VL DIII	<u>IXI</u>												X	Direc	tor		10% Ov	vner
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 09/22/2023								X	Office belov	er (give title v)		Other (s below)	specify	
4800 T-REX AVENUE				03/2	03/22/2023									Chairman & CEO					
SUITE 120				4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)														X	Form	filed by One	e Rep	orting Perso	on
BOCA R	BOCA RATON FL 33431														Form filed by More than One Reporting Person				
(City)	(5	State) (2	Zip)		Rul	le 10	)b5-	1(c)	Tran	ısac	tion Indi	icatio	on .						
						Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.													
		Table	I - No	n-Deriva	tive S	Secui	rities	Acc	uired	, Dis	posed of	, or E	Benefic	ially	Own	ed			
1. Title of Security (Instr. 3)  2. Transacti Date (Month/Day)					Execu if any	eemed ıtion Date, h/Day/Year)					s Acquired (A) f (D) (Instr. 3, 4		and Secur Benef Owne		cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	(A) o (D)	r Price		Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common stock 09/22/20					023				P	1,000		A	\$14.	856	1,1	32,048		D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
				(e.g., pu	ıts, ca	alls, v	varra	ants,	optio	ns, c	convertib	le se	curities	s)					
1. Title of Derivative Security (Instr. 3)  2. Convers or Exerc Price of Derivati Security		3. Transaction Date (Month/Day/Year)	if any	emed tion Date, n/Day/Year)	Code (Inst		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y		ate	7. Titl Amou Secur Under Derive Secur 3 and	int of ities rlying ative ity (Instr.	Der Sec	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	/ Ov Fo Di or (I)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercis	sable	Expiration Date	Title	Amount or Number of Shares						

**Explanation of Responses:** 

Remarks:

Barry Sloane

09/22/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.