SEC Form 5

Г

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

ONBIATIA	0 17 12							
OMB Number:	3235-0362							
Estimated average burden								
hours per response:	1.0							

Form 4 Transactions Reported. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940										
1. Name and Addres	ss of Reporting Perso	n*	2. Issuer Name and Ticker or Trading Symbol Newtek Business Services Corp. [NEWT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
<u>SALUIL RR</u>	JIARD J			X	Director	10% Owner				
,					Officer (give title	Other (specify				
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)		below)	below)				
1981 MARCUS	AVENUE		12/31/2018							
SUITE 130										
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable						
(Street)				Line)						
LAKE		110.10			Form filed by One Reporting Person					
SUCCESS NY 1		11042			Form filed by More than Person	One Reporting				
(City)	(State)	(Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership
		(month/bdy/real)	5,	Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)	Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock	03/30/2018		P ⁽¹⁾	105.79	A	\$17.99	10,841.18	D	
Common Stock	06/29/2018		P ⁽¹⁾	101.58	A	\$20.11	10,841.18	D	
Common Stock	09/28/2018		P ⁽¹⁾	111.08	A	\$21.46	10,841.18	D	
Common Stock	12/28/2018		P ⁽¹⁾	149.73	A	\$16.95	10,841.18	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cans, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriva Secur Acqui (A) or Dispo of (D)	Expiration Date (Month/Day/Year) cquired) or sposed (D) str. 3, 4		Expiration Date Amount of		xpiration Date Amount of Security Securities Jonth/Day/Year) Securities Underlying (Instr. 5) Beneficially Derivative Security (Instr. 5) Following		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares received by Reporting Person pursuant to regular quarterly dividend declared by Issuer.

Remarks:

Richard Salute

** Signature of Reporting Person

01/28/2019

n Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.