SEC Form 5

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FORM 5

] 🖁	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See nstruction 1(b).

Form 3 Holdings Reported.

Form 4 Transactions Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* EDDELSON JENNIFER CATHERINE (Last) (First) (Middle) 1981 MARCUS AVENUE SUITE 130		ATHERINE	2. Issuer Name and Ticker or Trading Symbol <u>Newtek Business Services Corp.</u> [NEWT] 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) Principal Financial Officer				
(Street) LAKE SUCCESS (City)	LAKE NY 11042 SUCCESS		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acc Of (D) (Instr. 3, 4		or Disposed	5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)		
Common Stock	09/30/2016		J ⁽¹⁾	199	A	\$14.26	30,647 ⁽²⁾	D	
Common Stock	12/30/2016		J ⁽¹⁾	171	A	\$15.9	30,818	D	
Common Stock	08/02/2016		J (3)	4,950	A	\$0.00	35,768	Ι	Spouse
Common Stock	09/30/2016		J (3)	149	A	\$14.26	35,917	Ι	Spouse
Common Stock	12/30/2016		J (3)	128	A	\$15.9	36,045	Ι	Spouse

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Ex		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. This stock was received by the Reporting Person pursuant to a regular quarterly dividend declared by Newtek Business Services Corp.

2. Corrects an error in the previously reported number of shares held in the Reporting Person's 401k account.

3. Reporting Person's husband, an employee of Issuer, was granted shares as a restricted stock award on April 2, 2016. The award vests on August 15, 2017. Dividends, in the form of common stock, were paid on September 30, 2016 and December 30, 2016, and such common stock will also vest on August 15, 2017.

Remarks:

Jennifer Eddelson

01/06/2017 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.