FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPRO              | IVAL      |
|------------------------|-----------|
| OMB Number:            | 3235-0287 |
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| hours per response:    | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Mulia Salvatore Francis</u>  |   |  |   |  |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol  Newtek Business Services Corp. [ NEWT ] |  |     |                                       |        |                    |  |             |                     |                      | all app  |   |  | ,<br>10% O                 | wner  |
|--|---|--|---|--|---|---|--|-----|---------------------------------------|--------|--------------------|--|-------------|---------------------|----------------------|--|---|--|----------------------------|---|
| (Last) (First) (Middle) 1981 MARCUS AVENUE SUITE 130   |   |  |   |  | 3. Date of Earliest Transaction (Month/Day/Year) 09/19/2019 |   |  |     |                                       |        |                    |  |             |                     |                      |  | Officer (give title below)  |  | Other (specify below)      |   |
| (Street) LAKE SUCCESS NY 11042  (City) (State) (Zip)   |   |  |   | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |   |  |     |                                       |        |                    |  |             | Indivi              | ´                    |  |   |  |                            |   |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |   |  |   |  |   |   |  |     |                                       |        |                    |  |             |                     |                      |  |   |  |                            |   |
| Date   |   |  |   |  | Date E<br>Month/Day/Year) if                                |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year |     | Transaction Disposed (Code (Instr. 5) |        |                    | ties Acquired (A)<br>d Of (D) (Instr. 3, 4   |             |                     | 4 and Se<br>Be<br>Ov |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following                                   |  | ship<br>ect<br>irect<br>1) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |   |  |   |   |  |     |                                       | v      | Amount             | (,   | A) or<br>D) | Price               | .  -                 | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |   |  |                            | (111501.4)  |
| Common   | /2019   |  |   | S  |   | 1,000   | )  | D   | \$23                                  | 3.5 67 |                    | 7,788  | D           |                     |                      |  |   |  |                            |   |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |  |   |  |   |   |  |     |                                       |        |                    |  |             |                     |                      |  |   |  |                            |   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemee<br>Execution I<br>if any<br>(Month/Day | Date, T  | Code (Instr.  |   |  |     | 6. Date<br>Expirat<br>(Month          | on Dat |                    | nd 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |             | str. 3              |                      | vative<br>urity<br>ir. 5)                      | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownershi<br>Form:<br>Direct (D)<br>or Indirec<br>(I) (Instr. 4 | (D)<br>irect               | Beneficial<br>Ownership<br>(Instr. 4)                             |
|  |   |  |   | C  | Code V  |   | (A)  | (D) | Date<br>Exercis                       |        | Expiration<br>Date | Title  | or          | ount<br>nber<br>res |                      |  |   |  |                            |   |

**Explanation of Responses:** 

Remarks:

Sal Mulia

09/20/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.