Instruction 1(b).

FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, I	D.C. 20549
---------------	------------

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number: 3235-0287									
Estimated average burden									
hours per response:									

1. Name and Address of Reporting Person* <u>SLOANE BARRY</u>					2. Issuer Name <b>and</b> Ticker or Trading Symbol NewtekOne, Inc. [ NEWT ]									check al	nship of Reporti I applicable) Director	ing Pe	erson(s) to I	
(Last) 4800 T-F	(Last) (First) (Middle) 4800 T-REX AVENUE						3. Date of Earliest Transaction (Month/Day/Year) 12/13/2023								Officer (give title below) Chairm		below)	(specify
SUITE 120					4. If Amendment, Date of Original Filed (Month/Day/Year)								. Individual or Joint/Group Filing (Check Applicable ine)  X Form filed by One Reporting Person					
(Street) BOCA RATON FL 33431															Form filed by More than One Reporting Person			
(City)	(St		Zip)  I - <b>N</b> C	on-Deriva	Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.  ative Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day)				tion	on 2A. Deemed Execution Date,			3. 4. 9		4. Securities Disposed O	ecurities Acquired (A) osed Of (D) (Instr. 3,		5. nd S	Amount of ecurities eneficially wned Following eported	Fori	Ownership m: Direct or Indirect Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					000				Code	v	Amount	(A) or (D)	Price	Ti (li	ransaction(s) nstr. 3 and 4)	ction(s) 3 and 4)		(
Common	Common stock  12/13/2023  P 3,000 A \$13.445 1,140,280 D  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	ivative Conversion Date Execution Date, curity or Exercise (Month/Day/Year) if any					Transaction of Code (Instr. Derivative		vative prities priced r osed ) r. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Pric Deriva Securi (Instr.	tive derivative ty Securities	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
					Code V		(A)	(D)	Date Exercisable		Expiration Date		or Number of Shares					

**Explanation of Responses:** 

Remarks:

Barry Sloane

12/13/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.