

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL	
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**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

- Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- Form 3 Holdings Reported.
- Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>SLOANE BARRY</u>  (Last) (First) (Middle) 212 WEST 35TH STREET FLOOR 2  (Street) NEW YORK NY 10001  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Newtek Business Services Corp. [ NEWT ]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <b>Chairman &amp; CEO</b>
	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2015	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	11/13/2014		G	60,930	D	\$0.00	963,833 <sup>(1)</sup>	D	
Common Stock	05/12/2015		G <sup>(2)</sup>	1,000	D	\$0.00	963,833 <sup>(1)</sup>	D	
Common Stock	12/31/2015		J <sup>(3)</sup>	116,456	A	\$13.48	963,833 <sup>(1)</sup>	D	
Common Stock	08/04/2015		G <sup>(2)</sup>	25,706	D	\$0.00	963,833 <sup>(1)</sup>	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date					

**Explanation of Responses:**

- Effective November 13, 2014, Newtek Business Services, Inc. (NBSI) merged with and into Newtek Business Services Corp. (NBSC), a newly formed Maryland corporation, and thereafter NBSC elected to be treated as a business development company under the Investment Company Act of 1940, as amended. Prior to the conversion into NBSC, the reporting person made bona fide gifts of NBSI common stock in the total amount of 60,930 shares to The B Sloane Family Foundation, a 501(c)(3) charitable organization, and those shares were included in the total amount reported on the Form 3 filed on November 14, 2014 in error since such amounts should have been reported as gifts previously. The totals listed in Column 5 of Table I of this Form 5 reflect a 5-for-1 reverse stock split that occurred with respect to NEWT's common stock on October 22, 2014.
- Reporting person gifted shares to The B Sloane Family Foundation. The reporting person disclaims beneficial ownership of these securities.
- The shares were received by the Reporting Person pursuant to a dividend declared by Newtek Business Services Corp. on October 1, 2015. As a result of the dividend, all holders of record of Common Stock on November 18, 2015 received on December 31, 2015, \$2.69 per share payable, at the election of the stockholder, in either all shares of Common Stock or \$0.836 per share in cash and \$1.853 in shares of Common Stock. The price per share of Common Stock issued was based on the Common Stock's volume-weighted average price of \$13.48 per share on the Nasdaq Global Market on December 11, 14 and 15, 2015.

**Remarks:**

Barry Sloane 01/07/2015  
 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.