SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

ONBIATIA	017.12							
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Form 4 Transact	ions Reported.	Filed	l pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940				
1. Name and Address of Reporting Person* SALUTE RICHARD J (Last) (First) (Middle) 1981 MARCUS AVENUE SUITE 130		n*	2. Issuer Name and Ticker or Trading Symbol <u>Newtek Business Services Corp.</u> [NEWT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner			
		(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016		Officer (give title below)	Other (specify below)	
(Street) LAKE SUCCESS	NY	11042	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	ridual or Joint/Group Filing (Form filed by One Repor Form filed by More than Person	ting Person	
(City)	(State)	(Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	(Month/Day/Year) 8)		Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)	Indirect (I) (Instr. 4)		
Common stock	01/19/2016		J ⁽¹⁾	58	A	\$12.35	3,881	D	
Common stock	03/31/2016		J ⁽¹⁾	105	A	\$12.9	3,986	D	
Common stock	06/30/2016		J ⁽¹⁾	115	A	\$12.16	4,101	D	
Common stock	09/27/2016		J ⁽¹⁾	127	A	\$13.87	4,228	D	
Common stock	12/27/2016		J ⁽¹⁾	106	A	\$15.89	4,334	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., purs, cans, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriv Secur Acqu (A) or Dispo of (D) (Instr	of Expiration Date Derivative (Month/Day/Year) Securities Acquired (A) or		Amoun Securit Underly Derivat	7. Title and 8. Amount of D Securities S: Underlying (II Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This stock was received by the Reporting Person pursuant to a regular quarterly dividend declared by Newtek Business Services Corp.

Remarks:

Richard Salute

** Signature of Reporting Person

01/06/2017 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.