SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). [

Form 3 Holdings Reported. []

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

OMB APPROVAL

OMB Number: 3235-0362 Estimated average burden hours per response: 1.0

Form 4	4 Transactions	Reported.	File			the Securities Exch stment Company A								
	nd Address of <u> FERICH</u>	Reporting Person	*	2. Issuer Name and Ticker or Trading Symbol <u>Newtek Business Services Corp.</u> [NEWT]					5. Relationsh Check all ap X Dire	0	(s) to Is: 10% Ov			
4800 T-REX AVENUE			Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) Officer (give title below) 12/31/2020 Officer (give title below)								Other (s below)	specify	
SUITE 1	.20		4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) BOCA RATON FL			33431							 Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City)	(St	ate) (Zip)											
		Table	l - Non-Deriv	ative Securit	ies Acqui	red, Disposed	l of, o	r Benefi	cially Ow	ned				
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Dispo Of (D) (Instr. 3, 4 and 5)			Securit Benefic	ies cially	6. Ownershij Form: Dire (D) or	o Indi ct Ber	7. Nature of Indirect Beneficial Ownership	
				(MONUL)Day/Teal)	°)	Amount	(A) or (D)	Price	Issuer's	Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)			(Instr. 4)	
Common Stock			03/31/2020		Р	368	Α	\$17.2	9 18	18,174				
Common Stock			07/31/2020		Р	495	Α	\$18.3	3 18	18,174				
Common Stock			09/30/2020		Р	513	Α	\$18.9	2 18	18,174				
Common Stock			12/30/2020		Р	439	Α	\$18.4	7 18	18,174				
		Та	ble II - Derivat (e.g., p			ed, Disposed o otions, conver				ed				
1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year)		3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction of Code (Instr. D 8) A (A D 00 (II	f Ex	Date Exercisable ar piration Date onth/Day/Year)	An Se Un De Se	Title and nount of curities iderlying rivative curity (Instr. and 4)	8. Price of Derivative Security (Instr. 5) tr.		ve Ownes For ally Dire or ling (I) (I d tion(s)	nership n: ect (D) ndirect nstr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Explanation of Responses:

Remarks:

Richard Salute

Title

Expiration Date

01/22/2021

** Signature of Reporting Person Date

Amount or Number of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date

Exercisable

(A) (D)