SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | . Date of Event equiring Staten Month/Day/Year 1/14/2014 | nent | 3. Issuer Name and Ticker or Trading Symbol <u>Newtek Business Services Corp.</u> [NEWT] | | | | | | |
|--|-------------------|----------|---|--|---|--|--|--|---|--|---|
| (Last) 60 EAST 8TH | (First) STREET | (Middle) | | | | tionship of Reporting Persc all applicable) Director | | | 5. If Amendment, Date of Original Filed (Month/Day/Year) | | |
| #20K | | | | | | Officer (give title below) | Other (spe below) | | | cable Line) | /Group Filing (Check |
| (Street) NEW YORK | NY | 10003 | | | | | | | х | | y One Reporting Person y More than One erson |
| (City) | (State) | (Zip) | | | | | | | | | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | | | 2. Amount of Securities Beneficially Owned (Instr. 4) or Indirect (I) (Instr. 5) | | ct(D) (| 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | | |
| Common Stock | | | | | | 30,687 | D | | | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | |
| Ex | | | Expiration Da | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securit Underlying Derivative Security | | 4. Convers or Exerc | cise | 5. Ownership Form: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| | | | Date Exercisable | Expiratior Date | n Title | | Amount or Number of Shares | Price of Derivati Security | ve | or Indirect (I) (Instr. 5) | |
| Explanation of Responses: | | | | | | | | | | | |

Sam Kirschner

** Signature of Reporting Person Date

11/14/2014

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.