Form 144 Filer Information UNITED STATES
SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

### 144: Filer Information

Filer CIK 0001346166
Filer CCC XXXXXXXX
Is this a LIVE or TEST Filing? • LIVE • TEST

Submission Contact Information

Name

Phone

E-Mail Address

Address of Issuer

#### 144: Issuer Information

Name of Issuer

SEC File Number

NewtekOne, Inc.
001-36742

4800 T REX AVENUE

SUITE 120 BOCA RATON

FLORIDA 33431

Phone 212-356-9500

Name of Person for Whose Account the Securities are To Be Sold

Mulia Salvatore Francis

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Director

### 144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value		Approximate Date of Sale	Sacilitation
Common	Ameriprise Financial 2865 Ameriprise Financial Center Minneapolis MN 55474		10755.00	24648181	12/21/2023	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

### 144: Securities To Be Sold

Title of the	Date you	Nature of	Name of	Is	Date	Amount of	Date of	Nature of
Class	Acquired	Acquisition	Person from	this	Donor	Securities	<b>Payment</b>	Payment *

	Transaction	w nom Acquired	a Acquire	ea Acquirea	
Common	08/22/2011 Previous Stock Award	NewtekOne Inc		750	08/22/2011 Previously vested stock award

<sup>\*</sup> If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	<b>Gross Proceeds</b>
Salvatore Mulia 6384 Costa Circle Naples FL 34113	Common	11/21/2023	750	10147.50
Salvatore Mulia 6384 Costa Circle Naples FL 34113	Common	10/20/2023	750	10275.00
Salvatore Mulia 6384 Costa Circle Naples FL 34113	Common	09/21/2023	750	11535.00

# 144: Remarks and Signature

Remarks Sale made as part of 10B5-1 Plan

Date of Notice 12/21/2023 Date of Plan Adoption or Giving of 06/20/2023 Instruction, If Relying on Rule 10b5-1

**ATTENTION:** 

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ Alexander Meginnes as a duly authorized representative of Ameriprise Signature

Financial Services Inc, as attorney-in-fact for Salvatore Mulia

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)