SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Form 3 Holdings Reported. []

Washington, D.C. 20549 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL

OWNERSHIP

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Form 4 Transactions Reported.	or Section 30(h) of the Investment Company Act of 1940	1				
1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol <u>Newtek Business Services Corp.</u> [NEWT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
SALUTE RICHARD J		X Director 10% Owner				
(Last) (First) (Middle) 4800 T-REX AVENUE	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2021	Officer (give title Other (specify below) below)				
SUITE 120	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)		X Form filed by One Reporting Person				
BOCA RATON FL 33431		Form filed by More than One Reporting Person				
(City) (State) (Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Ac Of (D) (Instr. 3,		() or Disposed	5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	
Common stock	03/31/2021		P ⁽¹⁾	313	A	\$27.292	20,214	D	
Common stock	03/31/2021		P ⁽¹⁾	20	A	\$26.7	20,214	D	
Common stock	06/30/2021		P ⁽¹⁾	356	A	\$34.258	20,214	D	
Common stock	06/30/2021		P ⁽¹⁾	11	A	\$34.92	20,214	D	
Common stock	09/30/2021		P ⁽¹⁾	597	A	\$27.626	20,214	D	
Common stock	09/30/2021		P ⁽¹⁾	18	A	\$27.73	20,214	D	
Common stock	12/30/2021		P ⁽¹⁾	704	A	\$28.223	20,214	D	
Common stock	12/30/2021		P ⁽¹⁾	22	A	\$27.39	20,214	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares received by Reporting Person pursuant to regular quarterly dividend declared by Issuer

Remarks:

Richard Salute

01/06/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.