SEC Form 4

FORM 4

Check this box if no longer subject

to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden

Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	hours per	hours per response: 0.5			
			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Addres	1 0	rson [*]	2. Issuer Name and Ticker or Trading Symbol <u>Newtek Business Services Corp.</u> [NEWT]	(Check all ap	blicable) ctor	erson(s) to Issuer 10% Owner		
(Last) 4800 T-REX AV	(First) ENUE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/29/2022	- Offic belo	er (give title w)	Other (specify below)		
SUITE 120			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual o	ing (Check Applicable			
(Street)				1 1	n filed by One Re	porting Person		
BOCA RATON	FL	33431			Form filed by More than One Person			
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common stock	06/29/2022		A ⁽¹⁾		2,000	Α	\$0.00	22,229	D		

		Tal	ble II - Derivat (e.g., pւ					ired, Disp options, c					d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. On June 29, 2022, under the Shareholder and Board approved Newtek Business Services Corp. 2015 Stock Incentive Plan, the reporting person was granted 2,000 restricted shares of the Issuer's common stock as a restricted stock award. Forfeiture restrictions will lapse as to one-third of such restricted shares each year for three years and dividends in the form of common stock will be paid during the restricted period, with forfeiture restrictions lapsing as to one-third of such restricted common shares each year for three years.

Remarks:

Richa	rd S	alute	

07/01/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.