Instruction 1(b)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Washington,	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

OMB APPROVAL									
OMB Number: 3235-0287									
Estimated average burden									
hours per response:									

			or Se	ction 30(h) of the In	vestment Cor	npany Act of 1940					
1. Name and Address of Reporting Person* SLOANE BARRY				uer Name and Tick		Symbol Corp. [NEWT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
SLUANE DA	<u>IKKI</u>						X	Director	10% (Owner	
(Last)	(First)	(Middle)		te of Earliest Transa	action (Month/	/Day/Year)	X	Officer (give title below)	Other below on & CEO	(specify)	
4800 T-REX AV	ENUE		12/2	912022				Chairma	iii & CEO		
SUITE 120											
			4. If <i>F</i>	mendment, Date of	f Original Filed	d (Month/Day/Year)		/idual or Joint/Grou	p Filing (Check	Applicable	
(Street)							Line)				
BOCA RATON	FL	33431					X	Form filed by On	e Reporting Per	son	
,								Form filed by Mo Person	re than One Re	porting	
(City)	(State)	(Zip)									
	Та	able I - No	n-Derivative S	Securities Acq	uired, Dis _l	posed of, or Benef	ficially	Owned			
Date		2. Transaction Date (Month/Day/Year)	Execution Date, Transaction Disposed Of (D) (Instr. 3				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

ν

Amount

61,652

Code

 $A^{(1)}$

Explanation of Responses:

Common Stock

1. On December 29, 2022, under the Shareholder and Board approved Newtek Business Services Corp. 2015 Stock Incentive Plan, the reporting person was granted 61,652 restricted shares of the Issuer's common stock as a restricted stock award with 100% of the shares vesting after 36 months. Dividends in the form of common stock will be paid during the restricted period, and such common stock will also vest pursuant to the vesting schedule.

Remarks:

Barry Sloane

(A) or (D)

A

Price

\$0.00

12/29/2022

** Signature of Reporting Person

Date

Reported Transaction(s)

(Instr. 3 and 4)

1,096,749

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

12/29/2022

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.