

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM 8-K
CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

September 30, 2022

Date of Report (date of Earliest Event Reported)

NEWTEK BUSINESS SERVICES CORP.

(Exact Name of Company as Specified in its Charter)

MARYLAND

(State or Other Jurisdiction of Incorporation or Organization)

814-01035

(Commission File No.)

46-3755188

(I.R.S. Employer Identification No.)

4800 T-Rex Avenue, Suite 120, Boca Raton, FL 33431

(Address of principal executive offices and zip code)

(212) 356-9500

(Company's telephone number, including area code)

(Former name or former address, if changed from last report)

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, par value \$0.02 per share	NEWT	Nasdaq Global Market LLC
5.75% Notes due 2024	NEWTL	Nasdaq Global Market LLC
5.50% Notes due 2026	NEWTZ	Nasdaq Global Market LLC

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

ITEM 5.07. Submission of Matters to a Vote of Security Holders.

On September 30, 2022, Newtek Business Services Corp. (the “Company” or “Registrant”) held a Special Meeting of Shareholders (the “Special Meeting”). Set forth below are the proposals considered at the Special Meeting, as described in detail in the Registrant’s definitive proxy statement for the Special Meeting as filed with the Securities and Exchange Commission on July 28, 2022 (the “Special Meeting Proxy”). At the Special Meeting, the Registrant’s shareholders approved Proposals 1 and 3, and did not approve Proposal 2, each as described in the Special Meeting Proxy. A total of 24,186,795 of the Company’s outstanding shares of Common Stock were entitled to vote at the Special Meeting. The tabulation of the voting at the Special Meeting was:

Proposal 1: The Company’s shareholders approved a proposal to authorize the Company to sell shares of its Common Stock, par value \$0.02 per share, at a price below the then current net asset value per share of such Common Stock in one or multiple offerings, subject to certain limitations described in the Special Meeting Proxy:

ALL VOTES:		
<u>Votes For</u>	<u>Votes Against</u>	<u>Abstentions</u>
10,033,900	2,617,034	435,547

VOTES BY NON-AFFILIATED PERSONS:

<u>Votes For</u>	<u>Votes Against</u>	<u>Abstentions</u>
8,691,645	2,617,034	435,547

Proposal 2: The Company’s shareholders did not approve a proposal to approve an amendment to the Company’s Articles of Amendment and Restatement to allow the Company’s shareholders to amend the Company’s bylaws:

<u>Votes For</u>	<u>Votes Against</u>	<u>Abstentions</u>
11,222,572	1,442,690	421,227

Proposal 3: The Company’s shareholders approved a proposal to adjourn the Special Meeting, if necessary or appropriate, to solicit additional proxies:

<u>Votes For</u>	<u>Votes Against</u>	<u>Abstentions</u>
11,162,131	1,523,508	400,850

Because there were no routine proposals presented at the Special Meeting, there were no broker non-votes with respect to any of the proposals.

