Form 144 Filer Information UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 144

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

## **144: Filer Information**

Filer CIK0001346166Filer CCCXXXXXXXIs this a LIVE or TEST Filing?Is LIVE INFORMATIONSubmission Contact InformationIs the second second

Name Phone E-Mail Address

## **144: Issuer Information**

Name of Issuer SEC File Number

Address of Issuer

Phone

Name of Person for Whose Account the Securities are To Be Sold

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer

001-36742 4800 T REX AVENUE SUITE 120 BOCA RATON FLORIDA 33431 212-356-9500 Mulia Salvatore Francis

NewtekOne, Inc.

Director

#### **144: Securities Information**

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value		Approximate Date of Sale	SACHPITIAG
Common	Ameriprise Financial Inc. 2865 Ameriprise Financial Center Minneapolis MN 55474		9383.63	25964046	08/21/2024	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

# **144: Securities To Be Sold**

Title of the Name of Amount of Date of Date you Nature of Is Date Nature of Class Acquired Acquisition Person from this Donor **Securities Payment** Payment \*

	Transaction	Whom Acquired	a Acquiree Gift?	d Acquired	
Common	11/25/2014 Previously vested stock award	NewtekOne Inc		240	11/25/2014 Previously vested stock award
Common	12/10/2014 Previously vested stock award	NewtekOne Inc		510	12/10/2014 Previously vested stock award

\* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
Salvatore Mulia 6384 Costa Circle Naples FL 34113	Common	07/22/2024 7	250	10747.50
Salvatore Mulia 6384 Costa Circle Naples FL 34113	Common	06/21/2024 7	50	9352.50
Salvatore Mulia 6384 Costa Circle Naples FL 34113	Common	05/21/2024 7	/50	9870.00

### 144: Remarks and Signature

RemarksShares that were sold were sold pursuant to a Rule 10b5-1 trading planDate of Notice08/21/2024Date of Plan Adoption or Giving of<br/>Instruction, If Relying on Rule 10b5-106/20/2023ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature

/s/ Alexander Meginnes as a duly authorized representative of Ameriprise Financial Services Inc, as attorney-in-fact for Salvatore Mulia

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)